

Florida Investment Advisors

BUSINESS CONTINUITY PLAN

Dear Client:

Florida Investment Advisors has created a Business Continuity Plan to ensure that business continues uninterrupted in the event of an emergency or natural disaster.

Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. This brochure outlines our Business Continuity Plan, what it is, how it protects our clients, and the conditions under which this plan is activated.

Our Business Continuity Plan

We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the disruption.

Our business continuity plan addresses: data back up and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical suppliers, regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue business.

Our clearing firm, Pershing LLC backs up our important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, (such as time of day and the severity of the disruption), we have been advised by our clearing firm that its objective is to continue operations through these disruptions.

About Florida Investment Advisors

Florida Investment Advisors is committed to providing our customers continued access to their accounts in the event of a business disruption. Business disruptions can impact the firm in varying degrees and Florida Investment Advisors has developed a plan to address the different scenarios that may result.

Florida Investment Advisors has arranged with our affiliate, The Bank of Tampa, to provide a temporary physical location and technology to function at full capacity. This alternate site is available in the event of a business disruption that only affects the building or business district where Florida Investment Advisors is located.

For citywide or regional business disruptions, such as a hurricane, customer support will be provided by our clearing firm Pershing LLC. Pershing LLC. will provide support until a temporary location is secured.

Account information is also available 24 hours a day through our website, www.FloridaInvAdv.com.

Contacting Us

Florida Investment Advisors will notify customers of activation of the Business Continuity Plan through our website at: www.FloridaInvAdv.com and by recorded message at 813-872-1270. If you are unable to access us through either of these means, you should contact our clearing firm, Pershing LLC at (201) 413-3635.

If you have any questions regarding our plan, please contact our Compliance Officer at 998-2643.

Florida Investment Advisors

601 Bayshore Blvd. • Suite 960 • Tampa, Florida 33606

Phone (813) 872-1270 • Fax (813) 254-5024